

# Employer Confirmation

Company:

Company address:

Country of domicile (company):

Name of employee:

Date of birth:

Position within company:

Function within company:

Employed since:

Employed on a permanent basis:

Employed until:  
(if in terminated or fixed-term employment)

The Client Advisor (employee) is covered by the employer's professional liability insurance according to Art. 32 Financial Services Ordinance ("FinSO") or equivalent financial security according to Art. 33 FinSO.

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**Optional Section (if fields of activity are to be confirmed)**

We, the undersigned hereby confirm on behalf of \_\_\_\_\_ that \_\_\_\_\_, born on \_\_\_\_\_ employed by us, has the necessary professional knowledge in accordance with Art. 6 Swiss Financial Services Act ("FinSA") to work in the following fields of activity according to Art. 3 (c) FINSA:

- Purchase / sale of financial instruments
- Receipt / transmission of orders in relation to financial instruments
- Wealth / Asset Management
- Investment Advice (provision of personal recommendations on transactions)
- Granting of loans to finance transactions with financials instruments

Place, Date:

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\_\_\_\_\_