

The Swiss Client Advisor Register

for the digital and cost-efficient fulfilment of your legal obligations

BX Swiss AG is a Swiss stock exchange supervised by FINMA. It was granted approval by FINMA as a registration office pursuant to Art. 31 FinSA on 20 July 2020. The registration office of BX Swiss AG manages the Swiss register of advisors as an independent body.

Fully electronic and cost-efficient application process

Via the online platform, applications for registration and any amendments to existing information can be submitted fully electronically and cost-effectively. Changes in the application status can be viewed at any time via the online platform and are automatically notified by e-mail.

Registration Fee

The initial registration fee covers the costs of registration for 24 months. It amounts to a maximum of CHF 840 per application for registration. This includes all change notifications (such as a change of employer) submitted via the online platform. For financial service providers, a reduction in costs is granted according to the number of advisors to be registered. Payment is possible by credit card or cash in advance. Details can be found in our <u>List of Fees</u>.

Information and documents required for registration

To be entered in the register of consultants, certain documents must be uploaded during the registration process. Details are available on our website: Important information about the registration process.

Newsletter

You can register for our newsletter on our homepage <u>www.regservices.ch</u>, through which we will keep you up to date on further developments.

Responsible management

- Marc Enseleit, Head of the Registration Office
- o Fabian Friedli, Deputy Head of the Registration Office

Contact

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